



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FW

Appln No.: 10/800,900

Confirmation No. 1463

Filed: March 15, 2004

Applicant: George J. FRANKS, JR.

Title: UNIVERSAL GROUND STRAP
ASSEMBLY

Art Unit: 2831

Examiner: Not yet assigned

Attorney Docket No.: 82322

Customer No.: 22242

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date.

6/30/2004

Date

Mark W. Hetzler

Mark W. Hetzler

Registration No. 38,183

Attorney for Applicant(s)

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure under 37 CFR § 1.56, and in accordance with 37 CFR §§ 1.97 and 1.98, Applicant and the undersigned attorney bring the following information with respect to the above-identified application to the attention of the Examiner.

U.S. PATENT DOCUMENTS

<u>Document Number</u>	<u>Publication Date</u>	<u>Patentee/Applicant</u>
US-807,497 A	12/19/1905	Reeve
US-846,400 A	03/05/1907	Cox

Application No. 10/800,900
Filed March 15, 2004
INFORMATION DISCLOSURE STATEMENT

U.S. PATENT DOCUMENTS

<u>Document Number</u>	<u>Publication Date</u>	<u>Patentee/Applicant</u>
US-907,542 A	12/22/1908	Vogel
US-972,489 A	10/11/1910	Adams
US-1,250,467 A	12/18/1917	Johnson
US-1,418,650 A	06/06/1922	Johnson
US-1,505,314 A	08/19/1924	Cox
US-1,552,932 A	09/08/1925	Kessler
US-1,675,163 A	06/26/1928	Colburn
US-1,839,254 A	01/05/1932	Pearson et al.
US-2,061,463 A	11/17/1936	Hall
US-2,114,752 A	04/19/1938	Tallman
US-2,190,824 A	02/20/1940	Cook
US-2,210,750 A	08/06/1940	Cook et al.
US-2,423,627 A	07/08/1947	Tinnerman
US-2,532,068 A	11/28/1950	Larsen
US-2,681,196 A	06/15/1954	Lind
US-2,795,770 A	06/11/1957	Toedtman
US-3,040,477 A	06/26/1962	June
US-3,187,299 A	06/01/1965	Marta
US-3,353,145 A	11/14/1967	Sodderland et al.
US-3,562,701 A	02/09/1971	Orr
US-3,760,342 A	09/18/1973	Prouty et al.
US-D 233,733 S	11/26/1974	Byerly
US-4,002,869 A	01/11/1977	Taccetta et al.

Application No. 10/800,900
Filed March 15, 2004
INFORMATION DISCLOSURE STATEMENT

U.S. PATENT DOCUMENTS

<u>Document Number</u>	<u>Publication Date</u>	<u>Patentee/Applicant</u>
US-4,026,619 A	05/31/1977	Gillemot
US-4,103,986 A	08/01/1978	Izraeli
US-D 269,155 S	05/31/1983	Rose
US-4,526,428 A	07/02/1985	Sachs
US-4,591,229 A	05/27/1986	Sachs
US-4,623,204 A	11/18/1986	Auclair
US-4,626,051 A	12/02/1986	Franks, Jr.
US-4,664,469 A	05/12/1987	Sachs
US-4,780,096 A	10/25/1988	Franks, Jr.
US-4,828,504 A	05/09/1989	Franks, Jr.
US-D 301,303 S	05/30/1989	Hoover
US-4,925,395 A	05/15/1990	Franks, Jr.
US-4,944,683 A	07/31/1990	Leonardo
US-D 313,392 S	01/01/1991	Sachs
US-4,993,960 A	02/19/1991	Franks, Jr.
US-5,006,074 A	04/09/1991	Franks, Jr.
US-D 323,973 S	02/18/1992	Sachs
US-D 323,974 S	02/18/1992	Sachs
US-5,131,856 A	07/21/1992	Auclair
US-D 331,358 S	12/01/1992	Sachs
US-D 335,079 S	04/27/1993	Sachs
US-D 342,013 S	12/07/1993	Sachs
US-5,314,343 A	05/24/1994	Englander

Application No. 10/800,900
Filed March 15, 2004
INFORMATION DISCLOSURE STATEMENT

U.S. PATENT DOCUMENTS

<u>Document Number</u>	<u>Publication Date</u>	<u>Patentee/Applicant</u>
US-5,616,036 A	04/01/1997	Polidori
US-5,642,739 A	07/01/1997	Fareed
US-5,674,079 A	10/07/1997	Auclair
US-5,768,036 A	06/16/1998	Nakagishi et al.
US-D 398,218 S	09/15/1998	Coll et al.
US-6,011,218 A	01/04/2000	Burek et al.
US-6,322,378 B1	11/27/2001	Auclair
US-6,398,596 B1	06/04/2002	Malin
US-6,475,038 B1	11/05/2002	Franck
US-6,652,295 B1	11/25/2003	Glass et al.

FOREIGN PATENT DOCUMENTS

<u>Document Number</u>	<u>Publication Date</u>	<u>Patentee/Applicant</u>
DE-373689 C	04/14/1923	J. W. Dunker GmbH
CH-150427 A	10/31/1931	Spirig

NON PATENT LITERATURE DOCUMENTS

Allied Bolt, Inc. Drop Wire Hard Ware, Product Catalog, undated, page 37.

Three photographs of Electric Motion ground strap UL FM 5510 DB, undated, 3 pages.

Three photographs of Sachs ground strap UL 99N9, undated, 3 pages.

Three photographs of Diamond ground strap 3C36, undated, 3 pages.

Application No. 10/800,900
Filed March 15, 2004
INFORMATION DISCLOSURE STATEMENT

NON PATENT LITERATURE DOCUMENTS

Three photographs of Allied Bolt ground clamp corresponding to U.S. Patent No. 6,398,596, 3 pages.

The above documents are listed on Form PTO/SB/08 which accompanies this Information Disclosure Statement. In accordance with 37 CFR § 1.98(a)(2), enclosed is a copy of each foreign patent document and non-patent literature document listed.

Pursuant to 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

The Director is hereby authorized to charge any additional fees which may be required with respect to this communication or credit any overpayment to Deposit Account No. 06-1135.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

By 

Mark W. Hetzler
Registration No. 38,183

June 30, 2004

Suite 1600
120 South LaSalle Street
Chicago, Illinois 60603-3406
(312) 577-7000



PTO/SB/08A Substitute for Form PTO-1449		Application Number		10/800,900
		Filing Date		March 15, 2004
		First Named Inventor		George J. FRANKS, JR.
		Art Unit		2831
		Examiner Name		Not yet assigned
Information Disclosure Statement by Applicant (use as many sheets as necessary)		Attorney Docket		82322
Sheet	1	of	6	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ²			
		US-807,497 A	12/19/1905	Reeve	
		US-846,400 A	03/05/1907	Cox	
		US-907,542 A	12/22/1908	Vogel	
		US-972,489 A	10/11/1910	Adams	
		US-1,250,467 A	12/18/1917	Johnson	
		US-1,418,650 A	06/06/1922	Johnson	
		US-1,505,314 A	08/19/1924	Cox	
		US-1,552,932 A	09/08/1925	Kessler	
		US-1,675,163 A	06/26/1928	Colburn	
		US-1,839,254 A	01/05/1932	Pearson et al.	
		US-2,061,463 A	11/17/1936	Hall	
		US-2,114,752 A	04/19/1938	Tallman	
		US-2,190,824 A	02/20/1940	Cook	
		US-2,210,750 A	08/06/1940	Cook et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵				
		DE-373689 C	04/14/1923	J. W. Dunker GmbH		
		CH-150427 A	10/31/1931	Spirig		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A Substitute for Form PTO-1449				Application Number	10/800,900
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Filing Date	March 15, 2004
				First Named Inventor	George J. FRANKS, JR.
				Art Unit	2831
				Examiner Name	Not yet assigned
Sheet	2	of	6	Attorney Docket	82322

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ²			
		US-2,423,627 A	07/08/1947	Tinnerman	
		US-2,532,068 A	11/28/1950	Larsen	
		US-2,681,196 A	06/15/1954	Lind	
		US-2,795,770 A	06/11/1957	Toedtmann	
		US-3,040,477 A	06/26/1962	June	
		US-3,187,299 A	06/01/1965	Marta	
		US-3,353,145 A	11/14/1967	Sodderland et al.	
		US-3,562,701 A	02/09/1971	Orr	
		US-3,760,342 A	09/18/1973	Prouty et al.	
		US-D 233,733 S	11/26/1974	Byerly	
		US-4,002,869 A	01/11/1977	Taccetta et al.	
		US-4,026,619 A	05/31/1977	Gillemot	
		US-4,103,986 A	08/01/1978	Izraeli	
		US-D 269,155 S	05/31/1983	Rose	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵			

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A Substitute for Form PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/800,900
				Filing Date	March 15, 2004
				First Named Inventor	George J. FRANKS, JR.
				Art Unit	2831
				Examiner Name	Not yet assigned
Sheet	4	of	6	Attorney Docket	82322

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ²			
		US-D 323,974 S	02/18/1992	Sachs	
		US-5,131,856 A	07/21/1992	Auclair	
		US-D 331,358 S	12/01/1992	Sachs	
		US-D 335,079 S	04/27/1993	Sachs	
		US-D 342,013 S	12/07/1993	Sachs	
		US-5,314,343 A	05/24/1994	Englander	
		US-5,616,036 A	04/01/1997	Polidori	
		US-5,642,739 A	07/01/1997	Fareed	
		US-5,674,079 A	10/07/1997	Auclair	
		US-5,768,036 A	06/16/1998	Nakagishi et al.	
		US-D 398,218 S	09/15/1998	Coll et al.	
		US-6,011,218 A	01/04/2000	Burek et al.	
		US-6,322,378 B1	11/27/2001	Auclair	
		US-6,398,596 B1	06/04/2002	Malin	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵			

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.
³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A Substitute for Form PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number 10/800,900
				Filing Date March 15, 2004
				First Named Inventor George J. FRANKS, JR.
				Art Unit 2831
				Examiner Name Not yet assigned
Sheet	5	of	6	Attorney Docket 82322

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ²			
		US-6,475,038 B1	11/05/2002	Franck	
		US-6,652,295 B1	11/25/2003	Glass et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵				

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B Substitute for Form PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/800,900
				Filing Date	March 15, 2004
				First Named Inventor	George J. FRANKS, JR.
				Art Unit	2831
				Examiner Name	Not yet assigned
Sheet	6	of	6	Attorney Docket	82322

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Allied Bolt, Inc. Drop Wire Hard Ware, Product Catalog, undated, page 37.	
		Three photographs of Electric Motion ground strap UL FM 5510 DB, undated, 3 pages.	
		Three photographs of Sachs ground strap UL 99N9, undated, 3 pages.	
		Three photographs of Diamond ground strap 3C36, undated, 3 pages.	
		Three photographs of Allied Bolt ground clamp corresponding to U.S. Patent No. 6,398,596, 3 pages.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.